



BUSINESS CONTINUITY PLAN STATEMENT

October 2023

McLean Asset Management Corporation (“MAMC”) has developed a Business Continuity Plan (“Plan”) that outlines how it will respond to events that significantly interrupt its business operations (e.g., power outages, severe weather, fire, unplanned evacuations, etc.). Since the timing and impact of disasters and disruptions are unpredictable, there is a need to remain flexible in responding to actual events as they occur. However, this Plan has been prepared to outline actions that clients may take to access their investment accounts if a significant business interruption occurs.

Contacting Us – If a significant business disruption occurs and you cannot reach our main office in VA by phone at 703-827-0636. You may also email us at yourteam@mcleanam.com or contact us through our website at www.mcleanam.com.

If you cannot access either office via phone, email, or the web, you should contact the appropriate custodial firm (“Firm”) that handles your account(s) for instructions on how they may provide prompt access to funds and securities, enter orders and process other trade-related, cash, and security transfer transactions for you. MAMC has relationships with Fidelity and Charles Schwab & Co. (“Schwab”). Fidelity can be reached at 800-343-3548 and Schwab at 800-515-2157.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting our books and records, and allowing our customers to transact business. In short, our Plan is designed to permit MAMC to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our Plan addresses data backup and recovery; all mission-critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier; regulatory reporting; and assuring our customers have prompt access to their funds and securities if we are unable to continue our business.

Our custodial firms are located in a geographically separate area from MAMC. Each has stated that if there is a service disruption at any of their service centers, all communications will be re-routed to alternative service centers. Furthermore, they have alternate data centers in separate and distinct regions of the country where data can be accessed in the event a primary data center is not operational. Their business continuity plans are designed to provide customers with prompt, secure, and reliable access to their funds and securities. However, recovery from a significant business disruption may be delayed depending upon the nature and severity of the business disruption, and your orders and requests for funds and securities could be delayed during this period.

Varying Disruptions – Significant business disruptions can vary in their scope, such as affecting only MAMC, a single building housing one of our offices, the business district where an office is located, the city where a MAMC office is located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within 24 hours.

In a disruption affecting the business district, city, or region where a MAMC office is located, operations from such office will be transferred to a site outside of the affected area, and MAMC will strive to recover and resume business within 48-72 hours. In either situation, MAMC's plan is to: (i) continue business and, if necessary, transfer operations to our custodial firms, TDA, Fidelity, or Schwab; and (ii) notify clients through our website at www.mcleanam.com or a recording on our main phone number at 703-827-0636 as to how to contact us. If the significant business disruption is so severe that it prevents us from remaining in business, MAMC is committed to assisting our customers gain access to their funds and securities.

Further information – Any modifications to this Business Continuity Plan Statement will be posted on our website, www.mcleanam.com, or you may obtain a current version by contacting us directly. If you have questions about our business continuity planning, you can contact us at 703-827-0636 or by email at yourteam@mcleanam.com.